868 Textual Holdings-Indexes (R)

Find the field definition and scope, indicators, subfield codes, and guidelines for applying content designations for field 868.

**Indicators and subfield codes**

**First Indicator**

*Field encoding level*

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>No information provided</td>
</tr>
<tr>
<td>3</td>
<td>Holdings level 3</td>
</tr>
<tr>
<td>4</td>
<td>Holdings level 4</td>
</tr>
<tr>
<td>5</td>
<td>Holdings level 4 with piece designation</td>
</tr>
</tbody>
</table>

**Second Indicator**

*Type of notation*

<table>
<thead>
<tr>
<th>Indicator</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>Non-standard</td>
</tr>
<tr>
<td>1</td>
<td>ANSI/NISO Z39.71 or ISO 10324</td>
</tr>
<tr>
<td>2</td>
<td>ANSI Z39.42</td>
</tr>
<tr>
<td>7</td>
<td>Source specified in subfield ‡2</td>
</tr>
</tbody>
</table>

**Subfield Codes**

- ‡a Textual holdings (NR)
- ‡x Nonpublic note (R)
- ‡z Public note (R)
- ‡2 Source of notation (NR)
- ‡8 Field link and sequence number (R)
Field definition and scope

The field contains a textual description of the holdings of indexes in the collections of the reporting organization. It may be used instead of or in addition to field 865 (Enumeration and Chronology-Indexes) and any related field 855 (Captions and Pattern-Indexes) to record and/or display all or part of the holdings.

A general description of the four types of holdings data fields, their relationship to each other, and the repeatability of each is given in the 853-878 Holdings Data-General Information section.

Guidelines for applying content designations

Indicators

First Indicator - Field encoding level

The first indicator position contains a value that indicates the level of specificity of the enumeration and chronology in the field. Values 3 and 4 reflect requirements of Levels 3 and 4 of Holdings Statements for Bibliographic Items (ANSI/NISO Z39.71), formerly Serial Holdings Statement (ANSI Z39.44) and Holdings Statements for Non-Serial Items (ANSI/NISO Z39.57). Value 3 also reflects the requirements of Level 3 of Holdings Statements-Summary Level (ISO 10324). Values 3, 4, and 5 correspond to the level of specificity defined for the enumeration and chronology data at the record level by codes 3, 4, and 5 in Leader/17 (Encoding level).

- No information provided

Value † indicates that encoding level information is not provided.

3 - Holdings level 3

Value 3 indicates that the field contains summary enumeration and chronology (that is only at the first level of enumeration and chronology in a compressed form).

4 - Holdings level 4

Value 4 indicates that the field contains detailed enumeration and chronology (that is, at the first level and all subsequent levels and in either itemized or compressed form or a combination of the two).

5 - Holdings level 4 with piece designation

Value 5 indicates that the field contains detailed enumeration and chronology information and an identifying number for the physical piece in subfield ‡a (Textual holdings).

Second Indicator - Type of notation

The second indicator position contains a value that indicates whether the holdings contained in subfield ‡a is formulated according to standard or non-standard notation.
0 - Non-standard

Value 0 indicates that the holdings are not formulated according to a standard notation.

1 - ANSI/NISO Z39.71 or ISO 10324

Value 1 indicates that the holdings are formulated according to Holdings Statements for Bibliographic Items (which replaces the previous holdings standards, Serial Holdings Statements (Z39.44) and Holdings Statements for Non-Serial Items (Z39.57)) or Holdings Statements-Summary level (ISO 10324).

2 - ANSI Z39.42

Value 2 indicates that the holdings are formulated according to ANSI Z39.42 (replaced by Z39.44).

7 - Source specified in subfield ‡2

Value 7 indicates that the holdings are formatted according to another standard. Identify the source in subfield ‡2.

Subfields

‡a - Textual holdings

Subfield ‡a contains the textual form of the holdings. This form may be used either instead of or in addition to the 855 Captions and Pattern and 865 Enumeration and Chronology fields.

855 ‡8 1 ‡a (year) ‡o alphabetical index
865 4 2 ‡8 1.1 ‡a 1937-1942
865 4 2 ‡8 1.2 ‡a 1946-1968
865 4 2 ‡8 1.3 ‡a 1969-1978 ‡o Ten year cumulative index
868 4 0 ‡8 0 ‡a 1937-1968, plus 1969-1978 cumulative vol.
[The 868 field provides an alternative display and replaces the 865 fields.]

‡x - Nonpublic note

Subfield ‡x contains a note relating to the field. The note is not written in a form that is adequate for public display. A note adequate for public display is contained in subfield ‡z (Public note).

‡z - Public note

Subfield ‡z contains a note relating to the field. The note is written in a form that is adequate for public display. It contains information that cannot be contained in subfield ‡a. For example, it may be used to specifically record missing issues or numbering irregularities. A note not adequate for public display is contained in subfield ‡x (Nonpublic note).
‡2 - Source of notation

Subfield ‡2 contains a MARC code that identifies the source of the notation used to formulate the holdings statement. Use only when the 2nd indicator value is 7.

Currently, only one source of notation code has been approved for use in 868 subfield ‡2 in OCLC local holdings records:

**usnp** Indicates that the holdings are formulated according to the United States Newspaper Program (USNP) guidelines as set forth in *The Newspaper Cataloging and Union Listing Manual*.

‡8 - Field link and sequence number

Subfield ‡8 contains data that identifies linked holdings data fields and may also propose a sequence for the linked fields. **Subfield ‡8 is required in 868 fields in OCLC local holdings records.**

The structure and syntax for subfield ‡8 in the 868 field is: ‡8<linking number>

Subfield ‡8 in 868 Textual Holdings fields have only the linking portion of the link and sequence number. Depending on the linking number used, they indicate the following:

- **Linking number 0**: Holdings are recorded only in 868 Textual Holdings field(s). No 855 Captions and Pattern and 865 Enumeration and Chronology fields occur in the holdings record.
- **Linking number 0**: Holdings data are recorded in the linked 855 Captions and Pattern/865 Enumeration and Chronology fields but the display form of the holdings is recorded in a single Textual Holdings field. The 868 Textual Holdings field replaces all of the 855/865 fields for display purposes.
- **Linking number is the same as the linking number in the linked 853 and 863 fields**: Textual Holdings replace the display of coded holdings with the same linking number. The content of a single Textual Holdings field will display in place of the Enumeration and Chronology fields linked to a Captions and Pattern field. If multiple 855/865 Captions and Pattern/Enumeration Chronology fields that have consecutive linking numbers are to be replaced for display purposes by a single 868 Textual Holdings field, subfield ‡8 may be repeated in the 868 Textual Holdings field to record the linking number of each of the Captions and Pattern/Enumeration and Chronology fields to be replaced.
- **Unique linking number**: Sequences the display of textual holdings data with the enumeration and chronology of the 865 fields.

When multiple 868 Textual Holdings fields are used in a record that has no 855/865 holdings fields, all linking numbers in subfield ‡8 must be "0".

Subfield ‡8 is always the first subfield in the 868 field.